

CULLEN COLLEGE of ENGINEERING Department of Electrical & Computer Engineering



Large-scale Carbon Monitoring with Data-Driven Optimization and Machine Learning-enhanced Signal Processing

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Ph.D. Dissertation Defense

UNIVERSITY of **HOUSTON** ECE



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Anomaly Detection Catalyst Discovery

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2023 Summer Industrial Al intern **ABB** German Research Center



UNIVERSITY of HOUSTON ECE

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Doctor of Philosophy - PhD, Electrical and **Electronics Engineering** 2019 - 2024



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2022 Summer Al intern Shell AI Houston TX



Outline

• Introduction

- Carbon sequestration induced seismicity and gas leak monitoring
- Data-driven optimization methods
- Dissertation contributions
- Carbon Monitoring Sensor Placement
 - Work case 1: Stochastic optimal induced seismicity sensor placement
 - Work case 2: Distributionally robust optimal methane sensor placement
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 - Denoising Work: Swell noise attenuation with self-supervised learning
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- Conclusion



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Carbon Sequestration and Gas Leak Scenarios



Carbon Sequestration Injecting Scope





Ref link @https://github.com/yohanesnuwara/carbon-capture-and-storage. https://gardaerlangga.wordpress.com/2014/07/06/well-logging-definisi-dan-sejarahnya/ https://www.cgg.com/geoscience/subsurface-imaging

https://www.mdpi.com/1424-8220/21/17/5815

https://www.researchgate.net/publication/254528542_Modeling_Leakage_Through_Faults_of_CO2_Stored_in_an_Aquifer/figures?lo=1

After-injecting monitoring Induced-seismicity alarm





Gas Leak from a facility example Gas Leak alarm



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Various Sensors of Carbon Monitoring



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Optimization Classification





 Probability distribution of random parameters is unknown, but the range is known Obj. function : find a decision <i>x</i> that minimizes the worst-case cost over an uncertainty set
100 600 # of detection time
 Probability distribution of random parameters is known Obj. function : find a decision <i>x</i> that minimizes a functional of the expected cost.

Data-driven Optimization Methods





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Contributions

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- Contribution I: Developed a mathematical framework for optimizing carbon monitoring sensor placement with stochastic programming to address leak source uncertainty.
- Contribution II: Enhanced the sensor placement framework using distributionally robust optimization to tackle both leak source and environmental uncertainties.
- Contribution III: Advanced carbon monitoring capabilities by integrating machine learning techniques for improved signal processing and data accuracy in variable conditions.



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Monitoring Sensor Placement





Geophone placement Induced-seismicity alarm



Methane sensor placement Gas Leak alarm





Ref link @https://www.semanticscholar.org/paper/Wireless-Geophone-Sensing-System-for-Real-Time-Data-Attia-Gaya/03eaf9343fda2feed26f3dc31680ad3c7dd537b7 https://chama.readthedocs.io/en/latest/overview.html

https://www.semanticscholar.org/paper/Wireless-Sensor-Networks-for-Fugitive-Methane-in-Klein-Ramachandran/bd5dc788f349d1dc6a20ffb9984c2419a14d391c/figure/1

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Benefits:

Be able to solve optimization problem with uncertainty

- *x* -- Decision variables
 - -- Uncertain Parameter
 - -- Convex set of feasible solutions
 - -- Uncertain set
- $h(x,\xi)$ -- Objective function in x that depends on parameters ξ

Choose an intermediate approach to obtain a robust form of distributed optimization problem (DRO):

Stochastic Optimization (SO) $\min_{x \in \chi} E_P[h(x,\xi)] \longrightarrow \min_{x \in \chi} \max_{P \in \mathcal{P}} E_P[h(x,\xi)]$

Distributionally Robust Optimization (DRO)

 $\ensuremath{\mathcal{P}}$ is an uncertain set of probability distributions constructed from the samples.

Motivation



- In many situations, we have an empirical estimate of the underlying probability distributions.
- A natural way to hedge against the distributional ambiguity is to consider a neighborhood of the empirical probability distribution

Discrepancy

Ambiguity sets based on probability distance:

$$\mathcal{P} = \{P: d(\widehat{P_N}, P) \le \varepsilon\}$$

 $\begin{array}{ll} \widehat{P_N} & -- \mbox{ Empirical probability} \\ \varepsilon & -- \mbox{ Radius} \\ d(\widehat{P_N}, P) & -- \mbox{ Metric of the similarity of two distributions} \end{array}$

By selecting a suitable *metric*, certain *infinite-dimensional* convex DRO problems can be transformed into *finite-dimensional* convex optimization problems

Is there a metric that is simple to calculate and suitable for discrete / continuous distributions?





Wasserstein distance

used to measure the distance between two distributions.

Definition:

$$d_W(P_1, P_2) = \inf_{\gamma \sim \prod(P_1, P_2)} \mathbb{E}_{(x, y) \sim \gamma} \left[\|x - y\| \right]$$

 $\prod(P_1, P_2)$: the set of all possible joint distributions of P_1 and P_2 .

 $(x, y) \sim \gamma$: samples under joint distribution γ

||x - y||: sample distance

 $\mathbb{E}_{(x,y)\sim\gamma}[||x-y||]$: expectation of distance for sample x and y under joint distribution γ

Wasserstein distance of P_1 and P_2 : the lower bound of this expectation.

Discrepancy-based DRO





Move mass P_1 into the shape and position of P_2 .



 $\prod (P_1, P_2): \text{ transportation plan}$ $\|x - y\|: \text{ distance the soil moves}$ Y(x, y): amount of moving soil from x to y $\mathbb{E}_{(x,y)\sim\gamma}[\|x - y\|]: \text{ bulldozing cost}$

Bulldozing cost : amount of moving soil multiplied by the distance the soil moves. Wasserstein distance: the smallest bulldozing cost from P_1 to P_2 .



Wasserstein distance

Wasserstein distance-based ambiguity set:

$$\mathbb{B}_{\varepsilon}(\widehat{P_N}) = \{Q: d_W(\widehat{P_N}, Q) \le \varepsilon\}$$

- The ambiguity set Q can be viewed as a Wasserstein ball which contains all probability distributions whose Wasserstein distance to the empirical distribution $\widehat{P_N}$ is less than ε .
- Q will cover the true distribution with a higher probability with a larger value of ε .
 - There exists a trade-off between the accuracy and the complexity
 - It is important to well design the value of ε



Wasserstein distance How to calculate ε of ambiguity set

Light-tailed distribution assumption: Distribution \mathbb{P} is call light-tailed i

a > 1 such that

$$\mathbb{E}^{\mathbb{P}}\left[\exp(\|\xi\|^{a})\right] = \int_{\Xi} \exp(\|\xi\|^{a}) \mathbb{P}(\mathrm{d}\xi) < \infty.$$

f(x)

The assumption guarantees that the ambiguity set can cover most of the possible distributions.

Radius selection:

Number of samples
$$\longrightarrow$$
 Confidence level \longrightarrow Radius selection
 $\varepsilon_N(\beta) \coloneqq \begin{cases} \left(\frac{\log(c_1\beta^{-1})}{c_2N}\right)^{1/\max\{m,2\}} & \text{if } N \ge \frac{\log(c_1\beta^{-1})}{c_2}, \\ \left(\frac{\log(c_1\beta^{-1})}{c_2N}\right)^{1/a} & \text{if } N < \frac{\log(c_1\beta^{-1})}{c_2}. \end{cases}$
Number of samples

Sensor Placement under Uncertainty Example



Sensor Placement under uncertainty example

Scenario: 1 leak source, 2 sensor candidates



Historical detection time data for sensor A/B Faster is better Sensor Objective Function Distributions









Sensor Placement under Uncertainty Example





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$\min_{y_l} \sum_{e \in \mathcal{E}_e} p_e \sum_{i \in L_e} d'_{e,i} x_{e,i},$	Objective: Detection time Expectation
$y_l \in \{0,1\} \forall \ l \in L,$	Binary Decision Variable
$\sum_{l\in L} c_i y_l \le c, \text{Cos}$	st Constrain
$\leq x_{e,i} \leq 1 \forall \ e \in \mathcal{E}, \ i \in L$	$e \cdot$
$\sum_{i=1}^{n} x_{e,i} = 1 \forall \ e \in \mathcal{E},$	
$i \in L_e$	Supplementary constraints for
$x_{e,i} \leq y_i \forall \ e \in \mathcal{E}, \ i \in L_e,$	decision variable define

TABLE I: Tables of Symbols

Maaning

Symbol	Meaning
$e \in \mathcal{E}$	The collection of all events.
L	The group of all potential sensors.
L_e	The set of sensors that can detect event e
p_e	The probability of event e taking place
$d_{e,i}$	The damage coefficient for passive-seismic event e at location i
$x_{e,i}$	Binary variable indicating if location i first detects event e
y_l	Binary variable indicating sensor presence at location l
c_i	The expense associated with sensor i
c	The allocation of funds for the sensors
s_e	passive-seismic source spatial location for event e
\hat{s}_e	Predicted passive-seismic source spatial location
	for event e with heuristic optimization
s'_e	passive-seismic source spatial location ground truth for the event e
t_{obs}	Observation of passive-seismic wave-arrival time.
t	Measurement of passive-seismic wave-arrival time.
${\mathcal F}$	Ray tracing forward operator.
v	Subsurface velocity model.



$\min_{x_i} \sum_{e \in \mathcal{E}_e} p_e MSE(s'_e, \hat{s}_e),$	Objective: Localization Accuracy Expectation
$\hat{s}_e = \arg \min_{s_e} MSE(t_{obs}, t)$, Localization Inversion Problem
$t = \mathcal{F}(y, v, s_e),$ For	ward
$\sum_{i \in I} c_i y_i \le c,$	
$y_i \in \{0,1\} \forall \ i \in I.$	Cost constrain and decision variable define

TABLE I: Tables of Symbols

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Detection time optimization workflow



Localization accuracy optimization workflow



Passive-seismic Sensor Placement Optimization Results



Same Budget Placement strategies for detection time and localizations

When budget increase, both methods performance converge

Same performance, SO method use less sensor budget



- 1. Achieve 20% higher performance with same budget.
- 2. Achieving same performance level with less sensors.

Problem Formulation 3: Optimal Worst Detection Time



$\min_{y_l} \sum_{e \in \mathcal{E}_e} p_e \sum_{i \in \mathbb{L}_e} \sup_{Q \in \mathbb{B}_e^{d_{e,i}}} E(Q) x_{e,i},$	(1)	Minmax obj	Inne	r Problem Formulation $d'_{e,i} = \max E(Q),$	(8	
subject to			subject 1	$Q \in \mathbb{B}_{\kappa}^{d_{e,i}}$		Obj
$\sum_{l\in\mathbb{L}}y_l\leq c,$	(2)	cost		$\mathbb{B}^{d_{e,i}}_{\kappa} := \{ Q \in G_+ : \mathcal{D}_W(Q, T) \le (H) \} $	$\{\kappa\},$ (9)	⁹⁾ Uncertainty set
$x_{e,i} \leq y_i \forall \ e \in \mathcal{E}, \ i \in L_e,$	(3) E	arliest detection		$ \kappa = \left(\frac{1}{2S}\right) \log\left(\frac{1}{1-\gamma}\right), $	(10	Distimation
$\sum_{i \in \mathbb{L}_e} x_{e,i} = 1 \forall \ e \in \mathcal{E},$	⁽⁴⁾ E	Exist arliest detection Sole	$\begin{array}{c c} \textbf{Symbol} \\ e \in \mathcal{E} \\ L \\ L_e \end{array}$	Meaning The set of all events. The set of all candidate set The set of all sensors that are capable o	nsors. f detecting event	e
$y_l \in \{0,1\} \forall \ l \in \mathbb{L},$	(5)	Binary	$\begin{array}{c} p_e \\ d_{e,i} \\ d'_{e,i} \\ r \end{array}$	The probability of occurrence for Damage coefficient for leak event e Worst-case expectation of $d_{e,i}$ under Indicator for location <i>i</i> that first de	for event e e at location $ier uncertainty.$	
$0 \le x_{e,i} \le 1 \forall \ e \in \mathcal{E}, \ i \in L_e,$	(6) Ea	arliest detection Range	$egin{array}{c} x_{e,i} & y_l & \ c_i & \ c & \ \kappa & \end{array}$	Binary variable indicating if a sensor is in The cost of sensor i The sensors' budget The radius of the uncertaint	nstalled at locatio	on l
$\mathbb{B}^{d_{e,i}}_{\kappa} := \{ Q \in G_+ : \mathcal{D}_W(Q, T) \le \kappa \}$	}. (7) (Jncertainty set	$\begin{bmatrix} & \kappa \\ & \mathbb{B}_{\kappa}^{d_{e,i}} \\ & Q \\ & T \\ & G_{+} \\ & \mathcal{D}_{W} \\ & S \end{bmatrix}$	Uncertainty set Arbitrary distribution within unc Empirical distribution of The set of all probability distr Wasserstein distance Number of historical data for empiri	ertainty set $d_{e,i}$ ributions cal distribution	
Main Problem Formulation			$H \\ \gamma$	Number of bins for empirical d Confidence level	istribution	Table of Symbols

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Methane DRO Worst Detection Time Optimization Workflow











- Simulate 74 leakage events as observed events optimization database
- Test baseline wind speed average method, Stochastic optimization and DRO results on unseen dataset contains 148 leak events.

TABLE II TESTING ACCURACY

Methods	Testing Accuracy of in-sample events	Testing Accuracy of Out-of-sample events	Accuracy Regret Value
MEAN [17]	100%	79.73%	20.27%
SO [19]	95.95%	84.46%	11.49%
DRO	95.95%	87.16%	8.78%

TABLE III TESTING OBJECTIVE

Methods	Testing Objective of in-sample events	Testing Objective of Out-of-sample events	Objective Regret Value
MEAN [17]	16.97297297	24.79054054	-7.81756757
SO [19]	17.97297297	20.11486486	-2.14189189
DRO	18.55405403	18.86486486	-0.31081083

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Carbon sequestration requires subsurface surveys (imaging, well-logging) to design injection plans and monitor injection safety.

Carbon Sequestration Injecting Scope



Pre-injecting survey Imaging



Pre-injecting survey Well-logging



Ref link @https://github.com/yohanesnuwara/carbon-capture-and-storage.

https://gardaerlangga.wordpress.com/2014/07/06/well-logging-definisi-dan-sejarahnya/

https://www.cgg.com/geoscience/subsurface-imaging

https://www.mdpi.com/1424-8220/21/17/5815

https://www.researchgate.net/publication/254528542_Modeling_Leakage_Through_Faults_of_CO2_Stored_in_an_Aquifer/figures?lo=1

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Benefits:

- 1. Be able to processing large scale data automatically
- 2. Be able to learn complex high dimensional pattern



Challenge:

Generalization: the practice data distribution is different from the training dataset

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Subsurface Imaging-Denoising



Challenge: The seismic data acquired from the field has noise such as swell and monochromatic noise and the traditional denoising method has limitations which also would attenuate the signal when performing denoising



Self-Supervised Learning Results





[1]

Physical priors and domain knowledge are keys to formulating self-supervised learning ignoring data distribution shifts because it learns from the testing data itself.

[1] Zi, Yuan, Shirui Wang, Pengyu Yuan, Xuqing Wu, Jiefu Chen, and Zhu Han. "Self-supervised learning for seismic swell noise removal." In Second International Meeting for Applied Geoscience & Energy, pp. 1910-1914. Society of Exploration Geophysicists and American Association of Petroleum Geologists, 2022.

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Reinforcement Learning Signal Localization



Motivation Using DRRL for Well Logging







data collection

limited samples

estimation errors in Policy Iteration

Learning to match patterns of this behavior itself constitutes a reinforcement learning task, wherein we anticipate it to achieve:

- 1. Adhering to a conservative policy in an unfamiliar sample.
- 2. Adopting an optimistic policy in a familiar sample.



[4] Zi, Yuan, Fan, Lei, Wu, Xuqing, Chen, Jiefu, Wang, Shirui, and Zhu Han. "Active gamma-ray well logging pattern localization with reinforcement learning." Paper presented at the SEG/AAPG International Meeting for Applied Geoscience & Energy, Houston, Texas, USA, August 2022. doi: <u>https://doi.org/10.1190/image2022-3745281.1</u>

[5] Y. Zi, L. Fan, X. Wu, J. Chen, S. Wang and Z. Han, "Active Gamma-Ray Log Pattern Localization With Distributionally Robust Reinforcement Learning," in IEEE Transactions on Geoscience and Remote Sensing, vol. 61, pp. 1-11, 2023, Art no. 5911011, doi: 10.1109/TGRS.2023.3278491.



Traditional method-Tedious:

- 1. Human vision
- 2. Prior knowledge about rocks
- 3. Rough correlation metrics
- **RL-Automatic:**
- 1. Machine vision
- 2. Pattern recognition
- 3. Localization Loss

DRRL-Automatic and Robust:

- 1. conservative in unfamiliar samples
- 2. optimistic in familiar samples

Gamma-Ray Signal Localization Task



[4] Zi, Yuan, Fan, Lei, Wu, Xuqing, Chen, Jiefu, Wang, Shirui, and Zhu Han. "Active gamma-ray well logging pattern localization with reinforcement learning." Paper presented at the SEG/AAPG International Meeting for Applied Geoscience & Energy, Houston, Texas, USA, August 2022. doi: https://doi.org/10.1190/image2022-3745281.1

[5] Y. Zi, L. Fan, X. Wu, J. Chen, S. Wang and Z. Han, "Active Gamma-Ray Log Pattern Localization With Distributionally Robust Reinforcement Learning," in IEEE Transactions on Geoscience and Remote Sensing, vol. 61, pp. 1-11, 2023, Art no. 5911011, doi: 10.1109/TGRS.2023.3278491.

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Reinforcement Learning Signal Localization Scheme





How does the problem look like

- 1. Two series, each containing a signal fragment as a reference/target, separately.
- 2. Search for target, given reference and new trace contain the target.

How does the solution process look like

Sequence of attended regions to localize the object States



3. Initial the whole new log trace as the agent's observation.

4. Let the agent move (left, right, expand, shrink) to search the reference pattern.

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Remote Methane Detection Physical Principle







- Reflection light carries carbon element's signature.
- The hyperspectral image have more channels than natural RGB image.

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Satellite	Coverage	Constellation size	Swath [km]	~Revisit time (per satellite)	Data availability
GHGSat-C2 ¹⁸	Targeted	5 (C1-C5)*	12	14 days	Commercial
WorldView 3 ²⁰	Targeted	1	13.1	4.5 days [‡]	Commercial
PRISMA 21	Targeted	1	3	7 days	Public
Landsat-8 ²²	Global	1	185	16 days	Public
Sentinel-2 ²³	Global	2	290	10 days	Public

*GHGSat C3-C5 were launched after the conclusion of testing.

[‡]For best resolution within 20° off nadir. WorldView 3 has 1-day revisit time at lower guaranteed resolution.



- Majority satellites have low resolution for point source detection.
- Recently some satellites have had the potential to detect point sources but they are commercially owned.
 - The public one has detection capability is yet to be launched.



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Using drone data for satellite research



Segmentation of Leakage Plume Task





[7]

[6] Kumar, Satish, et al. "Deep remote sensing methods for methane detection in overhead hyperspectral imagery." Proceedings of the IEEE/CVF Winter Conference on Applications of Computer Vision. 2020. [7] Jongaramrungruang, Siraput, et al. "MethaNet—An AI-driven approach to quantifying methane point-source emission from high-resolution 2-D plume imagery." Remote Sensing of Environment 269 (2022): 112809.

Data Visualization and Analysis





AVIRIS-NG is an airborne imaging spectrometer that measures radiance in the visible through the (SWIR). (AVIRIS-NG) = Airborne Visible and Infrared Imaging Spectrometer Next Generation (SWIR) = Short-wave infrared AVIRIS-NG dataset is available in <u>https://avirisng.jpl.nasa.gov/benchmark_methane_data.html</u>

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Academic Remote Methane Monitoring Research Tasks

Identify the false alarm problem of the traditional method

- Background noise mixed with methane signal.
- Filtering false methane light spots is the major focus of this study.





Traditional Method Experiment Result

1



Visualization of the traditional segmentation result.

Optimal Threshold Problem: False alarm contours **Binary Image** Contours 0 0 200 -200 -400 -400 600 -600 · 800 -800 1000 1000 200 400 600 800 1000 200 400 600 800 1000 0 0

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Plume Segmentation Method Workflow





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Segment Anything for Methane Plume Result



Visualization of the segmentation results in qualitative and quantitative ways.



Red stars: high confident background points Green stars: high confident methane points Bounding box: high confident methane region



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Methane Spectrum Examination



Extract two spectrum traces, one true methane signal and one false alarm for examination Red cross: true positive; Yellow cross: false alarm

CH4 Intensity map





Trace examination

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Methane Spectrum Examination





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Plume Segmentation Method Workflow



Stacking Operation



Estimated Methane Trace





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Conclusions

- The proposed sensor placement strategies have enhanced carbon monitoring performance by 20% and demonstrated the ability to meet performance standards with fewer sensors.
- Machine learning techniques have effectively remove noise in subsurface signals, enabling automated processing even when data distribution shifts.
- Advanced machine learning methods applied to remote hyperspectral imaging data have proven effective in segmenting methane plumes and improving filtering accuracy, even without customized training data.



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Future Work 1: Remote hydrogen exploration and monitoring





Emission Intensity Spectral Trace







[9] ZHANG, J., Dezhi, X.I.A.O., Shidong, F.A.N.G., Xingsheng, S.H.U., Xiao, Z.U.O., Cheng, C., Yuedong, M.E.N.G. and Shouguo, W.A.N.G., 2015. Characteristics of low power CH4/air atmospheric pressure plasma jet. Plasma Science and Technology, 17(3), p.202. [10] https://webbtelescope.org/contents/media/images/01F8GF9E8WXYS168WRPPK9YHEY

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Future Work 2: Hybrid Methane Monitoring System





analyzer

Publications

Journal

- 1. Yuan Zi, Lei Fan, Xuqing Wu, Jiefu Chen, Shirui Wang, and Zhu Han. "Active Gamma-ray Log Pattern Localization with Distributionally Robust Reinforcement Learning." IEEE Transactions on Geoscience and Remote Sensing (2023).
- Jin, Yuchen, Yuan Zi, Wenyi Hu, Yanyan Hu, Xuqing Wu, and Jiefu Chen. "A Robust Learning Method for Low-Frequency Extrapolation in GPR Full Waveform Inversion." IEEE Geoscience and Remote Sensing Letters 19 (2022): 1-5.
- 3. Jin, Yuchen, Wenyi Hu, Shirui Wang, **Yuan Zi**, Xuqing Wu, and Jiefu Chen. "Efficient progressive transfer learning for full-waveform inversion with extrapolated low-frequency reflection seismic data." IEEE Transactions on Geoscience and Remote Sensing 60 (2021): 1-10.
- **4. Yuan Zi**, Lei Fan, Xuqing Wu, Jiefu Chen, and Zhu Han. "Distributionally Robust Optimal Sensor Placement Method for Site-Scale Methane-Emission Monitoring." IEEE Sensors Journal 22, no. 23 (2022): 23403-23412.
- 5. Yuan Zi, Lei Fan, Xuqing Wu, Jiefu Chen, and Zhu Han. "Passive-seismic Sensor Placement Optimization for Geologic Carbon Storage" geoenergy science and engineering 2023

Conference

- 1. Jin, Yuchen, **Yuan Zi**, Wenyi Hu, Yanyan Hu, Xuqing Wu, and Jiefu Chen. "Solving Full Waveform Inversion Enhanced by Efficient Progressive Transfer Learning." In 2022 United States National Committee of URSI National Radio Science Meeting (USNC-URSI NRSM), pp. 40-41. IEEE, 2022.
- 2. Jin, Yuchen, **Yuan Zi**, Xuqing Wu, and Jiefu Chen. "An Enhanced GPR FWI Scheme with Low-Frequency Data Extrapolated by Progressive Transfer Learning." In 2022 IEEE USNC-URSI Radio Science Meeting (Joint with AP-S Symposium), pp. 120-121. IEEE, 2022.
- **3.** Yuan Zi, Lei Fan, Xuqing Wu, Jiefu Chen, Shirui Wang, and Zhu Han. "Active gamma-ray well logging pattern localization with reinforcement learning." In SEG International Exposition and Annual Meeting, p. D011S018R002. SEG, 2022.
- 4. Yuan Zi, Shirui Wang, Pengyu Yuan, Xuqing Wu, Jiefu Chen, and Zhu Han. "Self-supervised learning for seismic swell noise removal." In Second International Meeting for Applied Geoscience & Energy, pp. 1910-1914. Society of Exploration Geophysicists and American Association of Petroleum Geologists, 2022.
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